

11064 U.S. PTO  
12/03/01

12-18-01

A

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

Only for new nonprovisional applications under  
**37 C.F.R. § 1.53(b)**

Attorney Docket No.:		AESN3006
First Inventor:		Pham, Duc
Title:	Scalable Network Media Access Controller and Methods	
Express Mail Label:		EV 003 785 705 US

11017 U.S. PRO  
10/02/0554  
12/03/01**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

**ADDRESS  
TO:**

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. <input checked="" type="checkbox"/> Fee Transmittal Form	ACCOMPANYING APPLICATION PARTS
2. <input checked="" type="checkbox"/> Applicant claims Small Entity Status	9. <input type="checkbox"/> Assignment Papers
3. <input checked="" type="checkbox"/> Specification [Total Pages <u>53</u> ]	10. <input type="checkbox"/> 37 C.F.R. §3.73(b) Statement
4. <input checked="" type="checkbox"/> Drawings [Total Sheets <u>9</u> ]	11. <input type="checkbox"/> Power of Attorney
5. <input checked="" type="checkbox"/> Declaration [Total Pages <u>3</u> ]	12. <input type="checkbox"/> English Translation Document
a. <input checked="" type="checkbox"/> Newly Executed	13. <input type="checkbox"/> IDS and IDS Citation Copies
b. <input type="checkbox"/> Copy from a prior application	14. <input checked="" type="checkbox"/> Return Receipt Postcard
i. <input type="checkbox"/> Deletion of Inventor(s)	15. <input type="checkbox"/> Certified Copy of Priority Doc(s)
6. <input type="checkbox"/> Application Data Sheet	16. <input type="checkbox"/> Nonpublication Request under 35 USC 122 (b)(2)(B)(i). Must attach form PTO/SB/35
7. <input type="checkbox"/> Microfiche Computer Program (Appendix)	17. <input type="checkbox"/> Other: _____
8. <input type="checkbox"/> Nucleotide and/or Amino Acid Seq.	

18. If a CONTINUING/PROVISIONAL APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation     Divisional     Continuation-in-Part (CIP)     Provisional

Prior Application No: \_\_\_\_\_ Filed: \_\_\_\_\_ Examiner: \_\_\_\_\_ Group/ Art Unit: \_\_\_\_\_

Title:

- The accompanying Applications Papers are a true copy of the prior complete application identified above.
- 18a.  Applicant(s) claim priority under 35 U.S.C. §1.120 from the prior complete application identified above.
- 18b.  Applicant(s) claim priority under 35 U.S.C. §1.119(e) from the provisional application identified above.

19. Correspondence Address

Customer Number **23488**



Gerald B. Rosenberg                      Telephone: 650.325.2100  
 NewTechLaw                              Facsimile: 650.325.2107  
 285 Hamilton Avenue, Suite 520      Email: gbr@newtechlaw.com  
 Palo Alto, California 94301

**23488**  
PATENT TRADEMARK OFFICE

Name                                      Gerald B. Rosenberg                              Reg. No: 30,320

Signature

Date: Dec 3, 2001

12/03/01  
j1064 U.S. PTO

<h1>FEE TRANSMITTAL for FY 2001</h1>		Application Number:	N/A	
		Filing Date:	December 3, 2001	
		First Inventor:	Pham, Duc	
		Examiner Name:		
		Art Unit:		
Total Amount of Payment	\$ 511.00	Attorney Docket No.:	AESN3006	
METHOD OF PAYMENT		3. ADDITIONAL FEES		
<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: <u>50-0890</u> Deposit Account Name: <u>Gerald B. Rosenberg</u>		130.00	Surcharge - late filing fee or oath	
<input type="checkbox"/> Charge any additional fee required under 37 C.F.R. 1.16 and 1.17		50.00	Surcharge - late provisional filing fee or cover sheet	
<input type="checkbox"/> Applicant claims small entity status. See, 37 C.F.R. 1.27		130.00	Non-English specification	
<input checked="" type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Other		2,520.00	For filing a request for reexamination	
		110.00	Extension for reply within first month	
		400.00	Extension for reply within second month	
		920.00	Extension for reply within third month	
		1440.00	Extension for reply within fourth month	
		1960.00	Extension for reply within fifth month	
		310.00	Notice of Appeal	
		310.00	Filing a brief in support of an appeal	
		270.00	Request for oral hearing	
		1,510.00	Petition to institute a public use proceeding	
		110.00	Petition to revive - unavoidable	
		1,240.00	Petition to revive - unintentional	
		1,280.00	Utility issue fee (or reissue)	
		130.00	Petitions to the Commissioner	
Total Claims <u>31</u> - 20* = <u>11</u> x 18.00 = <u>198.00</u>		50.00	Processing fee under 37 C.F.R. 1.17(q)	
Independent Claims <u>4</u> - 3* = <u>1</u> x 84.00 = <u>84.00</u>		180.00	Submission of Information Disclosure Statement	
First Multiple Dependent		+ 280.00 =	40.00	Recording each patent assignment per property
*or number previously paid, if greater			710.00	Filing a submission after final rejection
Small Entity?		YES    x 0.5	710.00	For each additional invention to be examined
SUBTOTAL (1)		370.00	Other	
SUBTOTAL (2)		141.00	Small Entity?                  YES / NO	x 0.5
SUBTOTAL (3)				
CORRESPONDENCE ADDRESS				
<input checked="" type="checkbox"/>		Customer Number <u>23488</u>		 <b>23488</b> PATENT TRADEMARK OFFICE
Gerald B. Rosenberg NewTechLaw 285 Hamilton Avenue, Suite 520 Palo Alto, California 94301		Telephone: 650.325.2100 Facsimile: 650.325.2107 Email: gbr@newtechlaw.com		
Name:	Gerald B. Rosenberg	Registration No: 30,320	Telephone	650.325.2100
Signature:			Date:	December 3, 2001